

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,173	02/02/2001	Thomas C. Tisone	CARTECH.010A

CONFIRMATION NO. 2204

## FORMALITIES LETTER



\*OC000000005910100\*

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

Date Mailed: 03/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2001 MABDI1 00000019 111410 09776173 FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 DP  
02 FC:102 336.00 DP  
03 FC:103 288.00 DP  
04 FC:105 55.00 DP

75.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$608.
  - \$288 for 16 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1448.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing

sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09776173-032904

PATENT

Case Docket No. CARTECH.010A

Date: September 28, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas C. Tisone, et al.

App. No. : 09/776,173

Filed : February 2, 2001

For : METHOD AND  
APPARATUS FOR  
DEVELOPING DNA  
MICROARRAYS

Group Art Unit : 1742

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

September 28, 2001

(Date)

Robert J. Roby Reg. No. 44,304

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on March 28, 2001, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) An extension of time to respond for four (4) months is hereby requested.

Time Extension Fee:

- (X) four months (\$695 small entity)
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Supplemental Information Disclosure Statement.

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PATENT

Case Docket No. CARTECH.010A

Date: September 28, 2001

(X) PTO-1449 form with five (5) references.

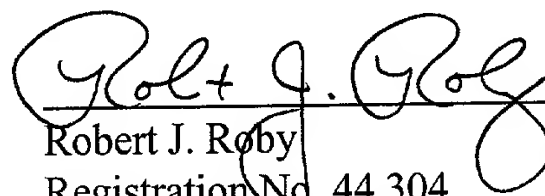
(X) Return prepaid postcard.

(X) Fees as calculated below:

FILING FEE (including claims)		\$ 1,318
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	4 months	\$ 1,390
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 2,838
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached.		\$ - 1,419
TOTAL FEES SUBMITTED HEREWITH		\$ 1,419

(X) A check in the amount of \$1,419 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
 Robert J. Roby  
 Registration No. 44,304  
 Attorney of Record